

FEDERAL BUREAU OF INVESTIGATION  
FOI/PA  
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FOI/PA# 1499386-000

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318D-NY-304825

Continuation of FD-302 of

[REDACTED]

, On 1/26/2012, Page 2

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told [REDACTED] that [REDACTED] did not sign up for the conference via email, as is the norm. Instead [REDACTED] walked into the [REDACTED]

[REDACTED] CONFERENCE [REDACTED]

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[REDACTED] referred [REDACTED] for

[REDACTED] any documentation regarding the NCX-IPIC transactions.

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[REDACTED] worked on [REDACTED] at one point.

~~ALDEN GLOBAL~~ SMITH started as a family wealth office, managing [REDACTED] money. [REDACTED] later [REDACTED] hedge fund named [REDACTED]

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## FEDERAL BUREAU OF INVESTIGATION

Date of transcription 01/26/2012

On 01/26/2012, [redacted] home address [redacted]  
[redacted] date of birth [redacted] social  
security account number [redacted] cellular telephone number [redacted]  
[redacted] home phone number [redacted] work phone number [redacted]  
[redacted] was interviewed telephonically by Special Agent [redacted]  
[redacted] After being advised of the identity of [redacted] (A-2)  
the interviewing Agent and the nature of the interview, [redacted]  
provided the following information:

At SMITH MANAGEMENT (SMITH) in 2009, there were two [redacted]  
Financial Analysts that may have worked on the NOVA CHEMICAL  
COMPANY (NCX) - INTERNATIONAL PETROLEUM INVESTMENT COMPANY (IPIC)  
transactions. The Financial Analysts names were [redacted]  
work telephone number [redacted] cellular telephone number [redacted]  
[redacted] email address [redacted] and [redacted]  
work telephone number [redacted] cellular telephone number [redacted]  
[redacted] home telephone number [redacted] email address [redacted]  
[redacted]

[redacted]  
[redacted] described [redacted] as intelligent and as an expert  
in [redacted]

[redacted] had trading licenses at some point.

[redacted]  
[redacted] has a friend named [redacted] that works at  
[redacted] a brokerage account [redacted]

[redacted] called one of her contacts on [redacted] at  
[redacted] who works in [redacted]  
[redacted] telephone number [redacted] who confirmed that [redacted]  
[redacted] was the only employee at [redacted] who attended the [redacted]  
[redacted] CONFERENCE in New York City [redacted]

Investigation on 1/26/2012 at New York, New York (telephonically)

File # 318D-NY-304825

Date dictated \_\_\_\_\_

by SA [redacted]

318D-NY-304825

[redacted] telephone call

[redacted]

[redacted]

11/25/12

[redacted]

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